



You are invited to attend a panel discussion
DIRECTORS AS GUARDIANS OF COMPLIANCE, RISK AND
ETHICS WITHIN FINANCIAL INSTITUTIONS

Tuesday May 3rd, 2011

**Alfred Lerner Hall
University of Delaware, Newark DE 19716**

**Registration/Breakfast 8:30 a.m.
Program 9:30 am ~ 11:30 am
Followed by Lunch in the Atrium**

There is no cost to attend this event but you must pre-register as space is limited. Please see details below for registration.

Moderator:

- Charles M. Elson, Edgar S. Woolard, Jr., Chair in Corporate Governance, Director, Weinberg Center for Corporate Governance, University of Delaware

Panelist:

- David Koenig, Chief Executive Officer, The Governance Fund
- Keith Darcy, Executive Director, Ethics and Compliance Officer Association
- Pat Gnazzo, Principal, Better Business Practices LLC
- Michael Greenberg, Director, Rand Center for Corporate Governance and Ethics
- The Honorable Randy Holland, Justice, Delaware Supreme Court
- Joseph E. Murphy, CCEP, Director, Public Policy, Society of Corporate Compliance and Ethics
- Charles Thayer, Chairman and Managing Director, Chartwell Capital Ltd.
- Melinda West, Chief, Policy and Program Development, FDIC, Co-Author, Basel Corporate Governance Principles
- Larry Zicklin, Director, Neuberger Berman LLC

Please feel free to forward this message to interested parties. We ask that all guests register in advance by contacting: batesa@udel.edu or marietta.ruppe@prmia.org. *2 hours Delaware CLE credits available*



Alfred Lerner College
of Business & Economics
JOHN L. WEINBERG CENTER
FOR CORPORATE GOVERNANCE

